### Slide 1



In order to be elected to Congress, candidates must convince voters to turn out on Election Day. Senator Joni Ernst (R-IA) appeals to voters as a down-to-earth farm girl in 2014.

### Slide 2

#### Learning Objectives

12

How does the primary system work and what criticisms do people have about it? Pages 334-339

How are voters limited in different types of primaries for candidates? Page 335

How does the primary system work and what criticisms do people have about it? Pages 334-339

What is the Electoral College and what determines how many electoral votes a state gets? Pages 340-343

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### Slide 3

#### **Learning Objectives**

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What is an "incumbant" and what advantages does an incument have in elections? Pages 344-345

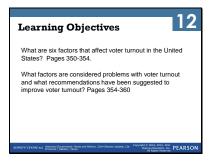
Under what conditions are incumbents most likely to lose Page 345-346

What demographic groups are more likely to vote Democratic or Republican? Pages 347-350

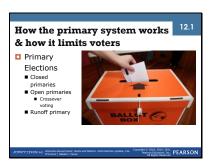
What is the difference between prospective voting and retrospective voting? Page 350

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### Slide 5



The American electoral process has two stages: the primary election stage and the general election stage.

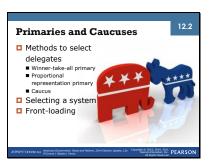
In a primary, voters decide which candidate from within a specific party will go on to challenge a candidate of an opposing party. There are several kinds of primaries. In a closed primary, only voters registered with a particular party can cast a ballot. In an open primary, however, independent voters and sometimes even voters registered with the opposing party can vote.

Some suggest that closed primaries are better because they are protected against crossover voting. This means a voter can vote in a primary of a party with which the voter is not affiliated, and not always with good intent. In some states voters may have

the chance to vote in a runoff primary—a contest between the top two vote-getting candidates—when the regular primary fails to produce a winner.

Following the primary election comes the general election, in which all registered voters may participate.

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The Constitution leaves it up to the states to run elections. State political party organizations use several different methods to pick the delegates who will attend the national convention. Some states use the winner-takes-all primary, in which the candidate who wins the most votes in a states secures all of that state's delegates. Democrats no longer use this process, but it is favored by Republicans.

Democrats prefer another method, the proportional representation primary, in which candidates who reach at least a minimum percentage of votes secure that same percentage of delegates. This may be fair, but it does tend to lengthen the presidential nomination process.

Finally, there are caucuses, which have become more open in recent years but historically were closed-door sessions of party activists. States must select from among these three types of selection processes, and the trend has been moving toward the more open primaries.

That trend has been accompanied by another, called front-loading, in which states choose the earliest date possible on the nomination calendar in order to gain the most press attention for their state. This can give the frontrunner candidate an advantage.

### Slide 7



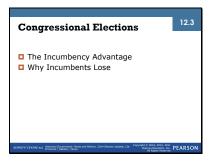
It may seem hard to believe, given all the television ads during presidential election season, but Americans do not directly elect the president. That honor falls to the Electoral College, a uniquely American institution comprised of representatives from each state who cast the final ballots that actually elect the president. The total number of electors for each state is equivalent to the number of senators and representatives the state has. A candidate needs at least 270 votes of the Electoral College to win the presidency.

The Electoral College was created as a compromise between Framers who wanted Congress to choose the president and those who wanted a direct, popular election.

The system has not been without flaws and challenges. For example, in 1800 Thomas Jefferson and Aaron Burr received an equal number of Electoral College votes, and the House of Representatives had to break the tie.

In 1824, neither Andrew Jackson nor John Quincy Adams received a majority of the votes, and again the House was called in. And in 2000, George W. Bush was declared the winner of the Electoral College vote some five weeks after election, even though it was clear he had lost the popular vote.

#### Slide 8



Certainly when compared to presidential elections, battles to pick congressional representatives are held in relative obscurity. Incumbents enjoy an enormous advantage. But they can, and sometimes even do, lose.

### Slide 9



Candidates who are already in office, also known as the incumbents, have a huge advantage in congressional elections.

As a member of the House, incumbents are allowed to hire up to 18 permanent and four non-permanent aides to work in their Washington and state district offices. These staffers directly or indirectly promote the incumbent through constituent services, such as tracking a lost Social Security check or helping a veteran receive his or her benefits.

Incumbents also have the advantage of visibility. They have ready access to the local media, and a travel budget that allows them to visit the home district frequently. Finally, incumbents also enjoy the so-

called "scare-off" effect, in which potential challengers decide not to subject themselves to the incumbent's institutional advantages.

### Slide 10



Incumbent office holders enjoy many advantages in their reelection bids because they have greater visibility and recognition. In 2014, Senator McConnell spent more than \$25 million in campaign expenditures, compared to some \$15 million spent by his Democratic opponent, Alison Grimes. In addition, outside spending on behalf of Senator McConnell reached \$20.4 million, more than twice as much spent on behalf of his opponent.

#### Slide 11



Incumbents do lose. They can be pushed out via redistricting, which occurs every ten years when state lawmakers redraw the districts following the census. Incumbents can lose or even give up their seat mid-term by scandal.

Incumbents can also lose due to trickle-down effects of presidential coattails. If the president is unpopular and fails to win re-election, that could mean the public is unwilling to re-elect others in the president's party. For that same reason, midterm elections can pose a threat to incumbents who share the president's party.

Thomas Foley, a Democrat from Spokane, and the only Speaker of the House from the state of Washington was turned out of office as voters in Eastern Washington re-aligned with the Republican Party after favoring the Democratic Party from the New Deal Days of FDR.

### Slide 12

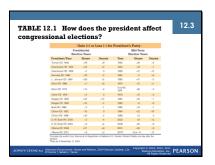


Table 12.1 shows how presidents have affected congressional elections from 1948 until 2014.

Source: Congressional Quarterly Guide to U.S. Elections, 6th ed. Washington, DC: CQ Press: 2010. Updated by the authors.

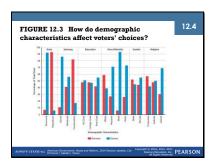
### Slide 13



Party identity and affiliation, and political ideology, are handsdown the most powerful predictors of how a person will vote. Party identity may not fully eliminate ticket-splitting, which is voting for candidates of different parties on the same ticket, but it also can help guide voters on races in which the voters are uninformed.

Ideology also motivates voters at the ballot box. Generally speaking, liberals tend to support government involvement in social programs that promote tolerance and social justice. Conservatives tend to support the ideals of individualism and market-based competition.

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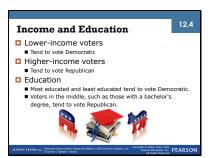


Demographic characteristics can be powerful predictors of citizens' choices at the voting booth. Partisanship is the most significant predictor of these decisions. In 2012, for example, 92 percent of Democrats voted for President Barack Obama and 93 percent of Republicans voted for Mitt Romney.

### Source:

http://www.cnn.com/election/201 2/results/race/president#exitpolls.

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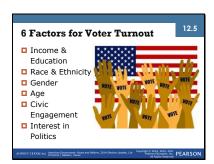
Social scientists have noted that income had been a consistent predictor of voter choice. Put simply, the poor vote Democratic, while the well-off vote heavily Republican. As income and education are connected, it's not surprising that a similar pattern exists for education. The very educated and the least educated citizens tend to vote Democratic, while those with a moderate amount of education, such as a bachelor's degree, tend to vote Republican.

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While those strong feelings may influence an individual, there are other issues that motivate voters that are not directly connected to group identity. Often the economy is an issue that motivates individuals to vote a particular way. Voters tend to reward the president's party during good economic times, and punish that party during bad economic times. This is called retrospective judgment because it is based on past performance. To remember this, think of the hit song by Janet Jackson, What Have You Done For Me Lately? At other times, voters use prospective judgement by voting based on what a candidate says he or she will do if elected.

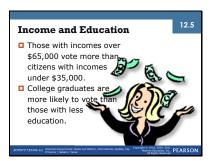
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When we speak of voter turnout, we are referring to the proportion of eligible voters that actually cast a ballot. While some states may add additional restrictions for felons, generally speaking, any citizen who is at least 18 years old is eligible to vote.

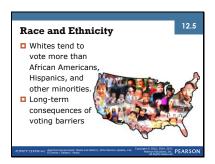
Unfortunately, just because people can vote doesn't mean they do. Voter turnout in the United States, at 40 percent, is much lower than in other industrialized democracies.

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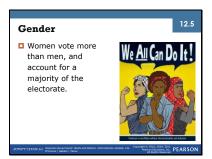
Individuals with more money are more likely to believe that the system works for them and are, therefore, more likely to vote. People with incomes over \$65,000 vote more than citizens with incomes under \$35,000. And college graduates are more likely to vote than those with less education.

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When it comes to race and ethnicity, whites tend to vote more than African Americans, Hispanics and other minorities. Social scientists have pointed to the long-term consequences of voting barriers, especially in areas of the Deep South. It wasn't until the Voting Rights Act of 1965, which did away with discriminatory voting barriers, that African Americans began voting in significant numbers.

### Slide 20



Besides income, education, race and ethnicity, several key factors influence voter turnout. Those include gender, age, civic engagement, and interest in politics. When it comes to gender, women have been voting more than men.

### Slide 21



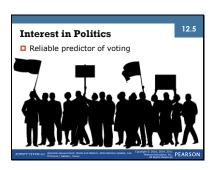
Age is also a key factor—the youngest eligible voters tend to vote with the least frequency. Voter turnout increases for people over 30, and declines again after the age of 70.

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It likely is no surprise that individuals who are involved in civic groups and those who identify as being very interested in politics tend to have high and consistent voter turnout.

### Slide 23



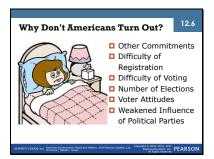
It likely is also no surprise that individuals who have the greatest interest in politics have high and consistent voter turnout.

### Slide 24



It can be difficult for elections officials and even candidates to inspire people to actually turn out to vote. That is especially true for mid-term elections, which garner just 40 to 45 percent of eligible voters. Even a presidential election may see just 50 or 60 percent of voters show up

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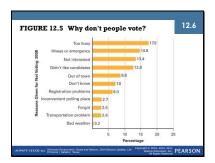
When asked why they didn't make it to the polls, Americans have no shortage of reasons from which to draw. Many say they simply had other commitments, whether work or family, that kept them away from the polls. For others, the process of registering to vote is too complicated.

The United States is one of the very few democracies that place the burden of registering on the citizen rather than on the government. The National Voter Registration Act of 1993, commonly known as the Motor Voter Act, tried to reduce the burden by allowing people to register to vote at the DMV and other service agencies.

Then there is the difficulty of voting itself; in 30 states, voters must show some form of ID. In nine of those states, that has to be a photo ID.

Some Americans become overwhelmed by the sheer number of elections and thus don't vote. Voter attitude is also key; some voters may feel alienated, and others are simply apathetic or disturbed by the tone and tactics taken by campaigns.

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During November of each federal election year, the U.S. Census Bureau conducts a Current Population Survey that asks a series of questions related to voting and registration. Figure 12.5 shows how, in the November 2008 survey, respondents were asked whether they voted in the 2008 election and, if not, what their reasons were for not voting. The most common reason for not voting was being too busy.

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A number of solutions have been proposed to boost voter turnout. One is to make Election Day a national holiday, so that fewer people would have work scheduling conflicts. This could backfire, however, if people used it to extend a weekend holiday.

Other suggestions include allowing mail and online voting. Mail-in ballots are already in use in many counties in Washington, California, and Oregon. And some states have begun experimenting with online voting. Further simplifying voter registration has been suggested, as has modernizing the ballot. The latter is intended to avoid the problems that surfaced in Florida

in 2000 due to a poor ballot design.

Finally, stronger political parties could improve voter turnout, but adopting reforms that would enable party strengthening, such as allowing for increases in finances, raise questions about the role of money in elections.

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